033104

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UTILITY			Docket No.	WETCO-00					
S PATENT	First Inve	First Inventor DUKE ET AL.							
TRAN	TRANSMITTAL			Title Cooling Water Scale and Corrosion Inhibition					
(Only for new nonprovisional applications under 37 CFR 1.53(b))			Express Mail Label No. EV 388979662 US						
APPLICA	ATION ELEMENTS	ADDI	RESS TO:	Assistant Comm Box Patent Appl					
See MPEP chapter 600 concerning utility patent application contents.				Washington, DC					
1. Submit an original and a duplic	orm (e.g., PTO/SB/17) cate for fee processing)	7.	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)						
2. X Applicant claims small entity status. See 37 CFR 1.27.			8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)						
X Specification	[Total Pages   25   ]	,,, ar	<u>^</u>	adable Form (CR	F)				
- Descriptive title	of the invention	b. S	pecification Seque	nce Listing on:		į			
	e to Related Applications Irding Fed sponsored R & D			OM or CD-R (2 cd	pies);	or			
- Reference to se	- Reference to sequence listing, a table, or a computer program listing appendix								
- Background of t	· c. [	ii paper  c Statements verifying identity of above copies							
- Brief Summary - Brief Description	of the Invention n of the Drawings <i>(if filed)</i>	A	CCOMPANYI	NG APPLICA	AOIT.	PARTS			
- Detailed Description			9 X Assignment Papers (cover sheet & document(s))						
- Claim(s) - Abstract of the Disclosure			10. X 37 CFR 3.73(b) Statement (when there is an assignee) Attorney						
	C 442)   Total Shoots	,  11.							
4 Drawing(s) (35 U.S		1 12.	Information Disclosure Copies of IDS						
5. Oath or Declaration	{ Total Pages 2	,   }		IDS)/PTO-1449	L	J Citations			
a. X Newly execu	ted (original or copy) prior application (37 CFR 1.63 (d))		13. Preliminary Amendment  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
b (for continua	prior application (37 CFR 1.63 (d)) tion/divisional with Box 18 completed	d) 14. 🗀							
	ION OF INVENTOR(S) tement attached deleting inventor(s)	15.	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)						
named in	16.	Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35							
1.63(d)(2) a	ind 1.33( <i>b</i> ).		or its equiva	lent					
6. Application Data Sheet. See 37 CFR 1.76  17. X Other CERTIFICATE OF MAILING						**************			
18. If a CONTINUING APPLI	CATION, check appropriate box, and	supply the requ							
or in an Application Data Sh	<u>ਹਿ</u>	<b>)</b>	-f -viti-ati Ma	/ 1	not ye	et assigned			
Continuation  Prior application information:	Divisional X Continuation-in-part (CII	,	of prior application No.:_  Group Art Unit:						
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion inadvertently omitted from the submitted application parts.									
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· · · · · · · · · · · · · · · · · · ·	MATTHEW A. NEWBOLE	S R	<u> </u>	Attorney/Agent)	36,	<u> </u>			

Signature

Date

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FEE TOANS	NAITTAI	Complete if Known			
FEE TRANS	SIVIIII I AL ,	Application Number	NOT YET ASSIGNED		
for TV 4	2004	Filing Date	MARCH 31, 2004		
for FY 2		First Named Inventor	DUKE, ET AL		
		Examiner Name			
X Applicant claims small entity status. See 37 CFR 1.27		Art Unit			
TOTAL AMOUNT OF PAYMENT	<b>(\$)</b> 533.00	Attorney Docket No.	WETCO-001B		

METHOD OF PAYMENT (check all that apply)  FEE CALCULATION (continued)	FEE CALCULATION (continued)							
X Check Credit card Money Other None 3. ADDITIONAL FEES								
Deposit Account:								
Poessit Fee Pee Fee Fee Fee Description	Fee Paid							
Account Number 19-4330 1051 130 2051 65 Surcharge - late filing fee or oath								
Deposit C								
Name 1053 130 1053 130 Non-English specification								
The Director is authorized to: (check all that apply)								
Charge fee(s) indicated below  X Credit any overpayments  1812 2,520 1812 2,520 For illing a request for expanse reexamination  1804 920* 1804 920* Requesting publication of SIR prior to								
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to the above-identified deposit account.  1251 110 2251 55 Extension for reply within first month								
FEE CALCULATION  1252 420 2252 210 Extension for reply within second month								
1. BASIC FILING FEE Large Entity Small Entity 1253 950 2253 475 Extension for reply within third month								
Fee Fee   Fee Pee   Fee Description   Fee Paid   1254 1,480   2254 740 Extension for reply within fourth month								
Code (\$)         Code (\$)           1001 770         2001 385         Utility filing fee         295 00   1255 2,010 2255 1,005 Extension for reply within fifth month								
1001 7/0   2001 385   Offlitty filling fee   385.00   1401 330   2401 165 Notice of Appeal								
1002 540 2002 170 Besign ming rec 1402 330 2402 165 Filling brief in support of an appeal								
1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing								
1005 160 2005 80 Provisional filing fee 1451 1,510 Petition to institute a public use proceeding								
SUBTOTAL (1) (\$) 385.00 1452 110 2452 55 Petition to revive - unavoidable								
1453 1,330 2453 665 Petition to revive - unintentional								
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue)								
Ext <u>ra Claims below Fee Paid</u> 1502 480 2502 240 Design issue fee								
Total Claims 32 -20** = 12 x 9.00 = 108.00   1503 640 2503 320 Plant issue fee								
Independent 2 - 3** = X 1460 130 Petitions to the Commissioner								
Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q)								
Large Entity   Small Entity   1806   180   1806   180   Submission of Information Disclosure Stmt								
Fee Fee Code (\$) Fee Description 8021 40 8021 40 Recording each patent assignment per property (times number of properties)	40.00							
1202 18 2202 9 Claims in excess of 20 1809 770 2809 385 Filing a submission after final rejection								
1201 86 2201 43 Independent claims in excess of 3 (37 CFR 1.129(a))								
1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))								
1204 86 2204 43 ** Reissue independent claims over original patent 1801 770 2801 385 Request for Continued Examination (RCE)								
1205 18 2205 9 ** Reissue claims in excess of 20 1802 900 1802 900 Request for expedited examination of a design application								
SUBTOTAL (2) (\$) 108.00 Other fee (specify)								
**or number previously paid, if greater, For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)								

(Complete (if applicable) SUBMITTED BY Registration No. Telephone (949) 855-1246 36,224 MATTHEW A. NEWBOLES Name (Print/Type) (Attorney/Agent) Date Signature

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ATTORNEY DOCKET NO: WETCO-001B

TITLE: COOLING WATER SCALE & CORROSION INHIBITION

Serial Number: Not Yet Assigned

Filed: MARCH 31, 2004

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- 5. DECLARATION (2 PAGES);
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- 7. STATEMENT UNDER 37 CFR;
- 8. ASSIGNMENT RECORDATION COVER SHEET;
- 9. 2 ASSIGNMENTS;
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